

Atty. Docket No.  
005313.00001



PATENT

2135  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Marc VAN HEYNINGEN

Examiner: L. Son

U.S. Pat. App. No.: 09/782,593

Group Art Unit: 2135

Filed: February 12, 2001

For: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA  
TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,141,423 to Camp et al., issued November 13, 2001;
- (2) U.S. Patent No. 6,816,968 to Walmsley, issued November 9, 2004;
- (3) U.S. Patent No. 6,502,135 to Munger et al., issued December 31, 2002;
- (4) U.S. Patent No. 6,345,288 to Reed et al., issued February 5, 2002;
- (5) U.S. Patent No. 6,167,438 to Yates et al., issued December 26, 2000;
- (6) U.S. Patent No. 6,606,663 to Liao et al., issued August 12, 2003;
- (7) U.S. Patent Publication No. 2002/0083148 to Shaw et al., published June 27,

2002; and

(8) The Authoritative Dictionary of IEEE Standard Terms, 2000 by IEEE, Inc.,  
Seventh Edition, pages 505-506.

A PTO-1449 (Modified) form is included herewith listing these documents, and a copy  
of each of these documents is attached.

Since an Official Action issued for this application on June 17, 2004, Applicant also  
submits herewith the fee in the amount of \$180 in accordance with 37 C.F.R. 1.97(e)(2), to be  
charged to Deposit Account No. 19-0733. If, however, the Commissioner deems that any  
additional fees are necessary for the filing of this Information Disclosure Statement, then the  
Commissioner is authorized to charge such additional fees to said Deposit Account.

It is respectfully requested that the documents listed above be considered by the Examiner  
in the above-identified patent application and that they be made officially of record therein. It is  
further requested that a listing of the same appear on the face of any patent that may issue from  
this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By:



Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 824-3000  
Facsimile: (202) 824-3001

January 20, 2005

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Marc VAN HEYNINGEN

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For: **METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA  
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**LETTER REGARDING ACTIONS IN RELATED APPLICATIONS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) Office Action for U.S. Pat. App. No. 09/783,146, dated December 15, 2004; and
- (2) Office Action for U.S. Pat. App. No. 09/783,147, dated October 8, 2004.

In accordance with his duty of disclosure under 37 C.F.R. §1.56, Applicant presents this letter to cite Office Actions that issued in related applications sharing most or all of the specification with the instant application. References cited in the Office Actions listed are being submitted in the Information Disclosure Statement submitted concurrently herewith.

It is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein.

Respectfully submitted,

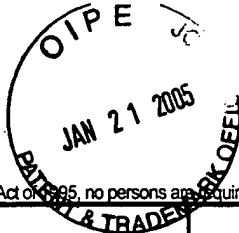
BANNER & WITCOFF, LTD.

January 20, 2005

By: \_\_\_\_\_

*Thomas L. Evans*

Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 824-3000  
Facsimile: (202) 824-3001



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

**Complete if Known**

Application Number	09/782,593
Filing Date	February 12, 2001
First Named Inventor	Marc Van Heyningen
Art Unit	2135
Examiner Name	L. Son
Attorney Docket Number	005313.00001

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,141,423	11-13-2001	Camp et al.	
		US- 6,816,968	11-09-2004	Walmsley	
		US- 6,502,135	12-31-2002	Munger et al.	
		US- 6,345,288	02-05-2002	Reed et al.	
		US- 6,167,438	12-26-2000	Yates et al.	
		US- 6,606,663	08-12-2003	Liao et al.	
		US- 2002/0083148	06-27-2002	Shaw et al.	
		US-			
		US-			
		US-			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		The Authoritative Dictionary of IEEE Standard Terms, 2000 by IEEE, Inc., Seventh Edition, pages 505-506	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.